



GROWTH INVEST



Platform Guide Series - Adviser Edition

TRANSFERRING & ONBOARDING ASSETS

AN INTRODUCTION TO GROWTHINVEST

EFFICIENCY / SCALE / CONTROL

At GrowthInvest, we make tax-efficient and private investments more accessible to wealth managers, financial advisers, and their high value clients. We provide tailored digital solutions enabling efficient execution and ongoing administration of private asset portfolios, alongside the ability to consolidate and onboard existing assets.

GrowthInvest gives you a single clear view of your clients' alternative investment portfolios.



OUR CORE OFFERING

-  A market-leading range of investment offers including EIS, SEIS, VCT, IHT and other Private Market investments
-  Reporting on all alternative assets in one online secure portal (including the onboarding of historical assets)
-  An extensive library of educational and research materials
-  Digital administration solutions alongside innovative products, such as our diversified VCT Service, and IHT "Fund of Funds"
-  A clear basis to meet existing and future regulatory requirements for FCA initiatives within the UK such as Higher Risk Investments and Consumer Duty
-  All underpinned by our strong belief in excellent, personalised client service

DID YOU KNOW...

One of the key ways to maximise the benefits of the GrowthInvest platform is to transfer on the rest of a client's alternative portfolio. This provides a great basis for further investment decisions, including our rolling VCT reinvestment solution, as well as ensuring a single account for the flow of dividends and exits, and bringing all administration, reports and documents under one roof.

Our experienced onboarding team of analysts will ensure that every client portfolio is accurately reflected with the latest valuations, full transactional history, and is backed by all the relevant documentation. This provides the starting point for the ongoing support of the portfolio, using our well-established reporting lines across the entire market.

Best of all, we manage the whole process from start to finish. Read on to find out more about consolidating your client's assets on GrowthInvest.

A GUIDE TO ONBOARDING ASSETS

GrowthInvest offer all our clients the unique ability to bring on and consolidate their historical and legacy alternative and tax efficient investments. The 4 simple steps below are all managed carefully by our experienced client services team in conjunction with your administration team, and the client. We ensure that the whole process is kept as simple and clear as possible.

1. Open a GrowthInvest Account

Once a financial intermediary account has been set up, it is straightforward to set up new clients and submit account applications.

Our account set up and ID checks are typically completed within 48 hours.

2. Portfolio Preparation & Analysis

Provide all information relating to the client portfolio, and scan and send all relevant information and documents to GrowthInvest.

We will create a client asset register and provide detailed feedback. Once everything is clear, we prepare the relevant documentation, including transfer forms and Letters of Authority into an Onboarding Pack for client signature.

3. Client Sign Off & Portfolio Transfer

Documents are sent to the relevant 3rd party fund managers, custodians and nominees.

We continue to liaise and monitor progress until confirmation is received and all reporting, access and transfers are complete.

4. Log in to Review the Portfolio

Once the process is complete, all assets will be visible within the client portal alongside transactional level information and a host of portfolio reports.

Updates, documents and any proceeds will flow directly into the client's platform account.

LEGACY ASSET PROCESS OVERVIEW

1. ANALYSIS AUDIT & PLATFORM REPORTING

STAGE 1: 3-4 WEEKS

- Initial analysis of all client assets on the register
- Audit & Feedback
- Reporting lines established with product providers
- Reconciled portfolio summary
- Sign-off on Portfolio

2. ASSET TRANSFER & CONFIRMATION

STAGE 2: 1-12 WEEKS

- Continue transfer process for eligible assets
- All related documentation loaded onto platform
- CREST Transfer for all VCT & Listed assets @ 3-4 weeks
- Non-Custody Funds @ 1 week
- Other Custody assets (up to) 12 weeks
- On-going reporting and any data feed solutions implemented

3. BUSINESS AS USUAL

OVERALL PROGRAMME: 4-16 WEEKS

- All agreed assets in custody
- All assets set up for BAU reporting
- All documentation loaded onto platform
- Distributions & Realisations to a single GrowthInvest account
- Access to secondary VCT trading liquidity

THE COMPLETE ALTERNATIVE INVESTMENT PORTFOLIO

The GrowthInvest platform provides one transparent reporting solution across tax efficient and alternative investments.

Once the asset onboard process is complete, our secure online portal consolidates reporting across all assets, whether new investments on platform or the client's legacy portfolio. This provides a complete portfolio and reporting solution for you and your clients.



KEY BENEFITS



A clear view of portfolio performance

- Advanced portfolio analytics with flexible reporting formats
- Daily price updates



Intelligently build your client's portfolio

- Providing the tools you need to give a clear view on deployment timings
- Creating easy portfolio diversification (by product, fund manager, sector) with whole of market access



Efficient administration & monitoring

- A digital application process with all documents in one place
- One account for investment proceeds including realisations, dividends and coupons
- No need to deal with multiple providers to collate information
- Tax related information in one place when you need it. e.g. EIS3 certificates



Efficient liquidity management

- All proceeds from all investments flowing to one account
- An efficient reinvestment process with access to secondary VCT markets
- True transparency on cash returns
- Enabling potential tax relief benefits



PORTFOLIO SUMMARY

All investments in one place



INTERACTIVE CHARTS & REPORTS

Filter and analyse by tax year, fund manager and more



DOCUMENT LIBRARY

All applications, tax & share certificates, in one place



INVESTMENT DETAIL

Drill down to individual holding level across the entire portfolio



GrowthInvest
Warnford Court
29 Throgmorton Street
London
EC2N 2AT

clientservices@growthinvest.com

0300 303 0037

GrowthInvest Platform is a trading name of EIS Platforms Limited which is a company registered in England and Wales, number 08018312.

EIS Platforms Limited (FRN: 694945) is an appointed representative of Sapphire Capital Partners LLP (FRN:565716) which is authorised and regulated by the Financial Conduct Authority in the UK.